Current Issues in Substance Abuse Treatment Research

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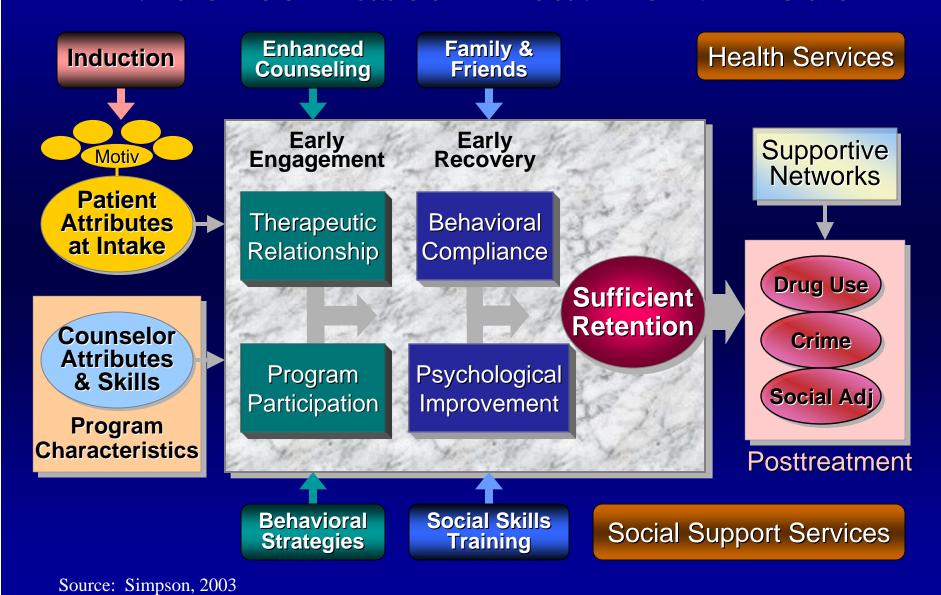
The Challenge

- What is the state of knowledge on the effectiveness of substance abuse treatment (SAT) and how does it relate to families who are involved with the child welfare system?
- What has been learned from large-scale evaluation studies and smaller-scale studies of specialized treatment for pregnant/parenting women?
- What are promising treatment/intervention models for blending/integrating services?
- What are the current critical issues on researchers' agendas and future directions?

National Studies on Effectiveness of Substance Abuse Treatment

		Intake		
Agency	Study	Years	Sample Size	No. of Programs/ Sites
NIDA	Drug Abuse Reporting Program (DARP)	1969-72	44,000	139 programs
NIDA	Treatment Outcome Prospective Study (TOPS)	1979-81	11,750	41 programs/ 10 cities
NIDA	Drug Abuse Treatment Outcome Studies (DATOS)	1991-93	10,100	96 programs/ 11cities
NIAAA	Project MATCH	1991-93	1,726	9 clinical research units
CSAT	National Treatment Improvement Evaluation Study (NTIES)	1993-95	6,593	78 service delivery units/ 16 states
NIDA	Clinical Trials Network (CTN)	1999 – Present	varies by protocol	17 nodes/130 community treatment providers

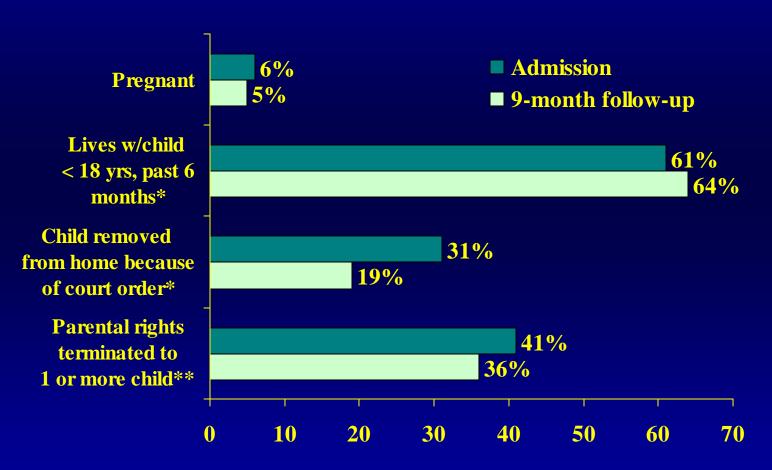
Evidence-Based Treatment Model



Development of State Outcome Monitoring Systems

Substance Abuse and Mental Health Services Administration/Center for Substance Abuse Treatment	Years	No. of States
Treatment Outcomes & Performance Pilot Studies (TOPPS)	1997-1999	14
Treatment Outcomes & Performance Pilot Studies Enhancement (TOPPS II)	1999-2001	19
Performance Partnership Grants (PPG)	Under Review	All

Findings from TOPPS II on Pregnancy and Status of Children

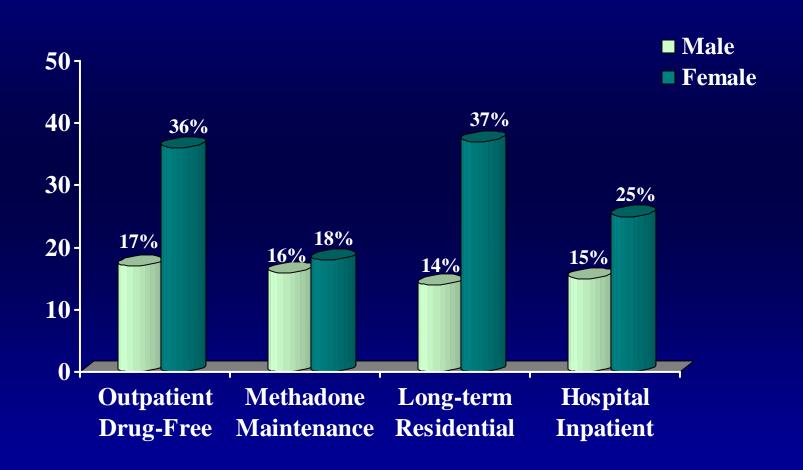


^{*}Among women with children

Note: Findings not controlled for sample attrition from follow-up and missing cases

^{**}Among women who had children removed from home

"Participation in Drug Treatment will Affect Child Custody" in DATOS*

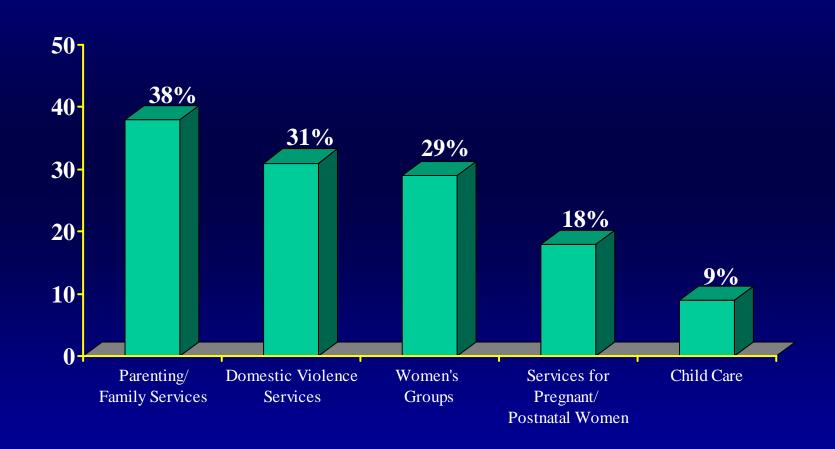


Characteristics of Individuals with Child Custody Issues in DATOS

Having child custody issues was associated with:

- Being African American
- ▶ Having less than HS degree
- Being referred by community agency
- Receiving public assistance
- Having prior drug treatment
- Being unemployed
- Being on parole
- Having unstable shelter
- Reporting current physical and/or sexual abuse
- Having multiple psychiatric disorders
- Engaging in illegal activity

Services Provided in Substance Abuse Treatment Programs

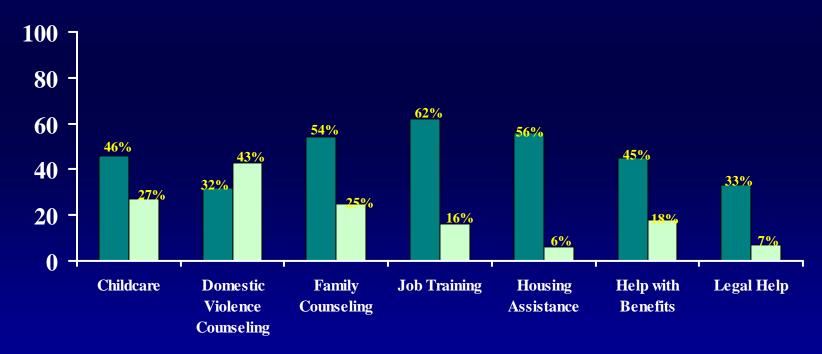


Source: Grella & Greenwell, 2003; Based on Uniform Facility Data Set, 1998

Services Needed & Received Among Women in SAT and CWS (N = 183)







Source: Smith & Marsh, 2002

Services Needed & Received Related to Treatment Outcomes

- Bivariate analyses showed that:
 - Matched counseling services (i.e., domestic violence, family) were associated with less substance use at 24-month follow-up
 - Matched ancillary services (i.e., housing, job training, legal) were associated with higher client satisfaction
- Multivariate analyses controlling for client characteristics showed that the total number of services received had a stronger impact on outcomes than degree of service matching

Source: Smith & Marsh, 2002

Development of Specialized Treatment Programs for Women

- Interest in specialized substance abuse treatment for women was stimulated in the 1970s by feminism – how women's AOD use differs from men's
 - Etiology
 - Epidemiology
 - Social influences
 - Barriers to treatment participation
 - ▶ Treatment needs
- In the 1980s, public concern over crack epidemic lead to increased policy attention and funding for women's drug treatment
- National Pregnancy and Health Survey (1996), sponsored by NIDA

Development of Specialized Treatment Programs for Women, Cont.

- Demonstration programs of specialized SAT for pregnant/parenting women
 - ▶ NIDA "Perinatal-20"
 - CSAT Residential Women and Children/Pregnant & Parenting Women (RWC/PPW) Program (Clark, 2001)
- Federal block grant funds include 5% 10% "women's setaside" for specialized programs/services
 - States are "encouraged" (not mandated) to use set-aside to fund women's services
 - GAO Report (1991) showed inconsistent implementation of set-aside across states

Findings from Studies of Specialized Substance Abuse Treatment for Women

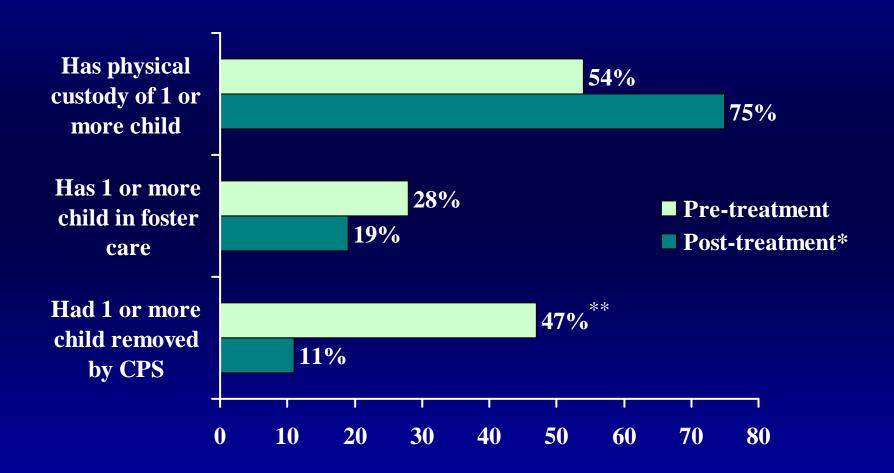
- Treatment retention is greater:
 - In women-only programs or in programs with higher concentrations of pregnant/parenting women (Grella, 1999)
 - Longer retention is related to better post-treatment outcomes (Grella, Joshi, & Hser, 2000)
- Treatment outcomes (i.e., abstinence) are improved:
 - In residential programs with "live-in" accommodations for children (Hughes et al., 1995)
 - In outpatient programs that provide comprehensive services, e.g., case management, family/parenting services, mental health services, vocational services (Zlotnick et al., 1996; Brindis et al., 1997; Howell et al. 1999; Volpicelli et al., 2000)

Meta Analysis of the Effectiveness of Women's Substance Abuse Treatment Programs

- 34 studies; 3 types of comparisons:
 - Treatment vs. no treatment
 - ▶ Women-only vs. mixed-gender treatment
 - ▶ Enhanced vs. standard treatment for women
- Positive treatment effects were found for:
 - Alcohol use, other drug use, criminal activity
 - Pregnancy outcomes, psychiatric problems
 - Psychological well-being, attitudes/beliefs, HIV risk reduction

Source: Orwin, Francisco, & Bernichon, 2001

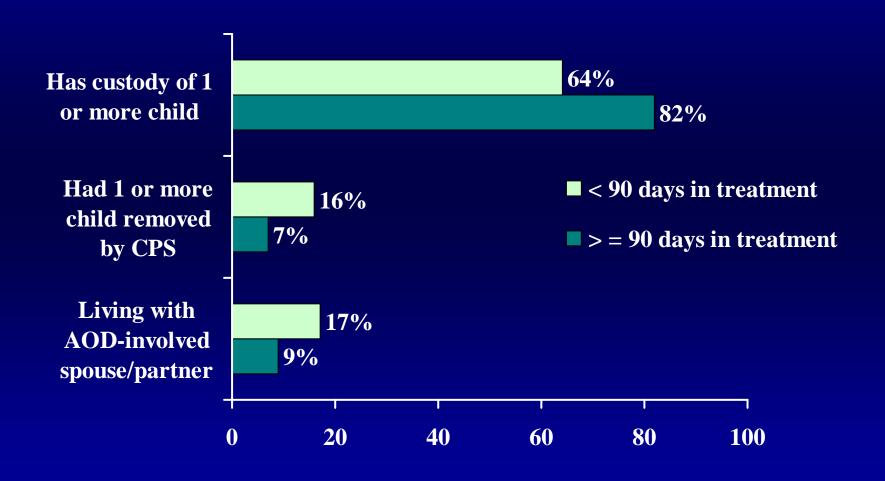
Changes in Child Custody Status Among Participants in CSAT RWC/PPW Programs



^{*}Time frame is 6 months following treatment discharge

^{**} Time frame is ever

Longer Treatment Retention is Associated with Better Outcomes in RWC/PPW Programs*



Comparison of Pregnant Women in Substance Abuse Treatment by CWS Status

- Among pregnant women (N = 678) in SAT in a large, California county:
 - ▶ 46% were white, 24% were African American, 26% were Hispanic
 - ▶ 59% were under legal supervision
 - ▶ 50% = methamphetamine is primary drug; 22% = alcohol, 13% = cocaine/crack, 7% = heroin
 - ▶ 15% were involved with CPS

Source: Hohman, Shillington, & Barter, 2003

Comparison of Pregnant Women in Substance Abuse Treatment by CWS Status, Cont.

- Those involved with CPS were more likely to:
 - Report marijuana (14% vs. 6%), less likely to report cocaine/crack (5% vs. 14%) as primary drug
 - Be mandated to treatment (65% vs. 24%)
 - Be treated in day treatment (36% vs. 20%) rather than outpatient (28% vs. 43%)
 - Have an unsatisfactory treatment discharge (43% vs. 27%)

Source: Hohman, Shillington, & Barter, 2003

Options for Recovery: Collaborative Project for PPW in CA

- Collaboration among state agencies: AOD, CPS, health services, social services
- Comprehensive case management, residential and intensive outpatient treatment, perinatal medical care, foster care
- Key evaluation findings (1991-93):
 - ▶ 1/3 of participants mandated to treatment by CJS or CPS
 - Mandated participants had higher treatment completion vs. voluntary (28% vs. 16%)
 - ▶ Decreased involvement with CPS after treatment (59% to 32%)
 - Increases in children who lived with mothers (+4%) and reunified with families after foster placement (40%)
 - Decreased length of time children were in foster placement
 - Cost savings due to reductions in neonatal care, incarceration, and foster care

Source: Brindis, Clayson, & Berkowitz, 1994

Cost-Benefits of Specialized Substance Abuse Treatment for Women

- Higher costs due to more intensive services (primarily medical, mental health) and longer treatment duration
- Recent studies have shown greater benefit-to-cost ratios for pregnant/parenting women treated in:
 - Residential vs. outpatient programs (Daley et al., 2000)
 - Specialized vs. standard residential programs (French et al., 2002)
 - Multi-disciplinary comprehensive treatment program vs. medical treatment-as-usual (Svikis et al., 1997)

Summary of Substance Abuse Treatment Effectiveness Research

- Large-scale treatment effectiveness research shows reductions in AOD use and improvements in functioning post-treatment
- Outcomes for pregnant/parenting women and children are improved with longer time in treatment and more intensive services
- Women involved with child welfare present a different profile at intake; mixed findings on rates of treatment completion for clients mandated to treatment; few studies examine child custody/parental status outcomes

Service System Issues

- Access to treatment
- Service system co-ordination
- Treatment/intervention models

Pregnant and Parenting Women: Access to Substance Abuse Treatment



Major Policy Initiatives Have Influenced the Provision of Treatment to Women

- Criminal justice: changes in drug laws and sentencing policies have increased arrest and incarceration rates of women
- Health services: managed care and cost-containment initiatives have reduced length of stay in treatment and service intensity
- Welfare reform: mandated screening for AOD abuse and referral for treatment participation
- Child welfare: increased emphasis on screening and assessment and coordinated treatment

Structural Barriers to Treatment

- Level of impairment must be high to reach treatment through institutional channels
- Lack of treatment availability, particularly in residential programs with capacity for child "livein" and outpatient programs that provide child care or family-related services
- Lack of co-ordination among substance abuse, health care, criminal justice, and child welfare systems

Parenting Capacity vs. Parenting Behavior of Substance-Abusing Women

- Addicted women have similar capacities for parenting compared with non-addicted women of similar circumstances:
 - Poverty
 - History of abuse and trauma
 - Psychological problems
- Addiction compromises parenting capacities
 - Preoccupation with use
 - Allocation of money and resources
 - Physical and mental health problems
 - Lack of structure and effective parental authority
- Interventions to strengthen parenting capacities

Model of Community-Based Care for Drug-Dependent Mothers and Children

Esteem and Status

- Family Services
- Educational and Vocational Services

Self-Realization and Fulfillment

- Aftercare Services
- Educational and Vocational Services
- Ongoing Recovery
 Activities

Affection and Social Activity

- Substance Abuse Treatment Services
- Recreational/Social Activities
- Relapse Prevention

Safety and Security

- Substance Abuse Treatment Services
- Domestic Violence/ Trauma Services
- Family Services
- Legal Services

Basic Psychological Needs

- Basic Survival Services
- Medical Substance Abuse Services
- Mental Health Services

Source: Haack, 1997

Combining Child Welfare and Substance Abuse Services: A Blended Model of Intervention

- Co-operative, interagency task force between child welfare and substance abuse services in Montgomery County, MD
- Framework: stages of change model (Prochaska & Diclemente, 1982) and motivational interventions (Miller & Rollnick, 1991) applied to organizational change
- Structured Response: blended intervention model using graduated sanctions or levels of intensity in providing services, engaging client participation, and engendering motivation; co-location of AOD staff
- 4 components:
 - Philosophy shift
 - Skills building
 - ▶ Standards and protocols for assessment, referral, and follow-up
 - Quality assurance in order to achieve treatment and service objectives within designated time frames

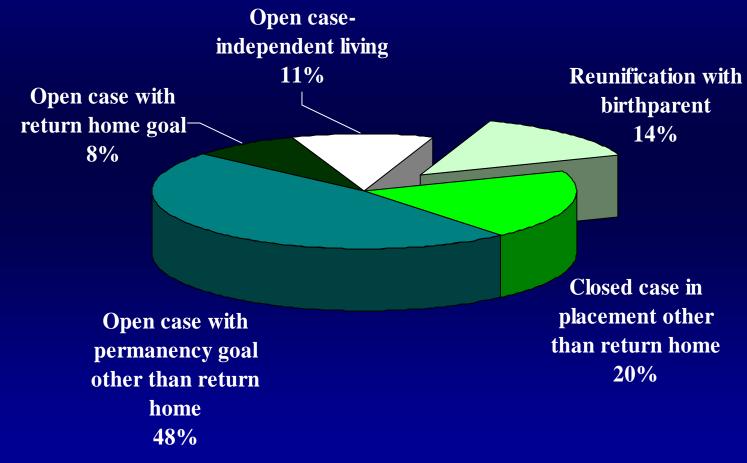
Source: McAlpine, Marshall, & Doran, 2001

Current "Hot" Topics in Substance Abuse Treatment Research

- Shift from focus on prenatal substance abuse and birth outcomes to the "caregiving environment" after birth
 - ▶ Abuse & neglect
 - Parenting behaviors, attitudes toward parental role
 - Passive exposure/child endangerment from drug labs
- Systems linkage: CPS, CJS, welfare, health services, mental health
 - Screening for AOD use across systems
 - Linkage and referral
 - Coordination of services
- Child placement outcomes in relation to treatment participation, compliance, and completion

Study of Child Placement Outcomes Among Substance-Involved Parents (N = 159) in Cook County

Placement Outcomes of Children* (N = 498)



*Time frame is 21-30 months Source: Smith, 2003

Predictors of Family Reunification Using Cox Regression Models

Control Variables

- Longer time case had been open, placement when child is < 1 year old, and poverty increased time-to-reunification (TTR)
- Prior reunifications (RR = 1.9) and non-relative-only placement (vs. mixed) (RR=2.9) reduced TTR

Drug Use History

- Substance-exposed infant (SEI) allegation reduced TTR (vs. other allegation) (RR = 2.4)
- Treatment Compliance
 - Drug dependent & completed treatment reduced TTR (vs. dependent and quit or no treatment (RR = 6.6)
- Ongoing Drug Use by Parent
 - Increased TTR (RR = .43)

Predictors of Family Reunification Using Cox Regression Models, Cont.

Parenting Behavior

- Subsequent SEI allegation or other allegation increased TTR (RRs = .38, .35)
- Parenting scale = NS

Conclusion: Completing SAT substantially increased rate of reunification independent of ongoing drug use and indicators of high-risk parenting

Study Strengths: multiple data sources (client survey, case records), child & parent measures, multivariate model with control variables, standardized measures (DSM-III-R for dependence, AAPI, CAPI), intersection of substance abuse treatment and child welfare

Source: Smith, 2003

Intersection of Child Welfare and Substance Abuse Treatment Systems

Child Welfare

 Developmental needs of child; safety, permanency & well-being of child

Substance Abuse Treatment

Recovery of substanceinvolved parent; health and social functioning of the parent

Goal of long-term "recovery" based on chronic disease model

Goal of timely resolution of case outcomes based on ASFA

Methodological Issues in Substance Abuse Treatment/Child Welfare Research

- Use of common assessments and definitions of problem severity (i.e., use, abuse, dependence)
- Outcomes
 - Definition (e.g., abstinence vs. decreased use)
 - Range (parent, child, family)
 - Source of info (i.e., self-report, drug tests, arrests, administrative records)
 - Time frame
- Limitations of pre/post research designs, need for controlled studies
- Study attrition due to cases lost to follow-up

Integration of Child Welfare and Substance Abuse Treatment: Future Treatment & Research Issues

- Dual focus on needs of parents (i.e., recovery)
 and children (i.e., safety, placement)
- Expand definition of "outcomes" to include family functioning
- Examine outcomes in relation to services needed (i.e., medical, parenting, legal, mental health) and received across service systems
- Expand time frame for evaluating outcomes

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